1 6 FEB 2005

PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

			R TO THE UNITED STATES	of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER									
		DESIGNATED/ELECT	BBC-166										
	CC	NCERNING A SUBMI	U.S. APPRICATION 100 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1										
INT	ERN.	ATIONAL APPLICATION NO PCT/IB03/004502	INTERNATIONAL FILING DATE August 15, 2003	PRIORITY DATE August 16, 2002									
TIT	LE O	F INVENTION											
For	Formulation of Human Antibodies for Treating TNF-α Associated Disorders												
		ANT(S) FOR DO/EO/US											
Ha	ns-Ju	iergen Krause et al.											
App	lican	t herewith submits to the Uni	ted States Designated/Elected Office (DO/E	EO/US) the following items and other information:									
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.													
2.		This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.											
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.											
4.	\boxtimes	The US has been elected (Article 31).											
5.	\boxtimes	A copy of the International Application as filed (35 U.S.C. 371 (c)(2))											
	a.	☑ is attached hereto (required only if not communicated by the International Bureau).											
	b.												
	c.	_											
6.													
	a.	☐ is attached hereto.											
	b.	☐ has been previously su	bmitted under 35 U.S.C. 154(d)(4).										
7.		Amendments to the claims	of the International Application under PCT A	Article 19 (35 U.S.C. 371 (c)(3))									
	a.	are attached hereto (required only if not communicated by the International Bureau).											
	b.	have been communicated by the International Bureau.											
	C.	☐ have not been made; however, the time limit for making such amendments has NOT expired.											
	d.	have not been made and will not be made.											
		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).											
9.		An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).											
10	An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).												
	_		document(s) or information										
	. 🗆 .	An Information Disclosure	Statement under 37 CFR 1.97 and 1.98.										
	. 🗆		or recording. A separate cover sheet in com	pliance with 37 CFR 3.28 and 3.31 is included.									
	: Ø	A preliminary amendment.											
14. 🗆		An application Data Sheet under 37 CFR 1.76.											
15	. 🗆	A substitute specification.		•									
16. 🗆		A power of attorney and/or change of address letter.											
17. 🖾		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.											
18. 🔲		A second copy of the published International Application under 35 U.S.C. 154(d)(4).											
19. 🗆		A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).											
20	.⊠	Other items or information:	Sequence Listing, Computer Readable Form an Containing Nucléotide and/or Amino Acid Seq	and Power of Attorney (unsigned), Transmittal of dead of the Amendment pertaining thereto for Invention uence Under 37 CFR §§1.821-1.825, Paper Copy of nion Under Rule 66 PCT, Return Receipt Postcard									

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR INTERNATIONAL APPLICATION NO.							ATTORNEY'S DOCKET NUMBER					
9 0 / 525292 PCT/IB03/004502								BBC-166				
21. The following	g fees are submitt	ed:					Appli	cant use	Office use only			
🛛 a) Basic na	tional fee					\$300.00	\$	\$300.00				
🖾 b) Examina	tion fee					\$200.00	\$	\$200.00				
🛛 c) Search fe	9θ					\$500.00	\$	\$500.00				
	TOTAL OF ABOV	E CALCUL	ATIONS =			\$1000.00	\$	\$1,000.00				
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.												
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole										
36 - 100 =	-64 /50 =		-1			x	\$	\$0.00				
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).												
CLAIMS	NUMBER	FILED	NUMB	ER EXTRA		RATE						
Total claims	23	- 20 =		3	x	\$50.00	\$	\$150.00				
Independent clair	ms 3	- 3=		0	x \$200.00		\$	\$0.00				
Multiple Depen	dent Claims (if a	pplicable)			+	\$360.00	\$					
				OVE CALC			\$	\$1,150.00				
☐ Applicant clair reduced by 1/3	ms small entity sta 2.	tus. See 37	7 CFR 1.27	'. The fees indic	cated	d above are	\$	\$0.00				
					SU	BTOTAL =	\$	\$1,150.00				
	f \$130.00 for furnis			slation later tha	n 30	months from						
tne earliest claim	ed priority date (3	7 CFR 1.49		OTAL NATIO	181 8	+_ LFEE =	\$	\$1,150.00				
Fee for recording	the enclosed ass	gnment (37	7 CFR 1.21	(h)). The assig	nme	ent must	\$	42,220.00				
be accompanied	by an appropriate	cover snee	•	OTAL FEES		•	\$	\$1,150.00				
		Φ	Ψ1,150.00	\$								
Amount to be refunded: Amount to be charged:									\$			
a. 🔲 A check	k in the amount of	fees is enclose	ed.									
b. 🗵 Please o	0025 in th	the amount of \$1,150.00 to cover the above fees.										
A duplicate copy of this sheet is enclosed. c. 🗵 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment												
	sit Account	01-002				this sheet is end		eu, oi cieult	any overpayment			
d. Fees are informa	e to be charged to tion should not b	a credit car e includec	d. WARNI I on this fo	NG: Information orm. Provide cr	on edit	this form may b card information	ecome and au	public. Cred iuthorization o	i t card n PTO-2038.			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.												
SEND ALL CO	RRESPONDEN	CE TO:					\sim	1				
John D. Conway								nocu	٩			
Abbott Bioresearch Center 100 Research Drive SIGNATURE							<u>ن. و</u>					
100 Research I		=										
Worcester, MA 01605-4314								John D. Conway				
	NAME						Joini D. Coliway					
IAVIAIT												
							39,150					
REGISTRAT							TON NUMBER					

EXAMINER: (not yet assigned)

(not yet assigned)

ART UNIT:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Hans-Juergen Krause et al.

PCT Application No.

PCT/IB2003/004502

PCT Filing Date:

15 August 2003

U.S. Serial No.:

(not yet assigned)

Filed:

(concurrently herewith)

Priority Date:

Entitled:

16 August 2002

FORMULATION OF HUMAN

ANTIBODIES FOR TREATING TNF- α ASSOCIATED DISORDERS

Attorney Docket No.: BBC-166

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

PATENT APPLICATION TRANSMITTAL – SUBMISSION UNDER 35 U.S.C. 371

 Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Submission Under 35 U.S.C. 371 form PTO/1390 (in duplicate), including authorization to charge Deposit Account the amount of \$\(\frac{1,150.00}{\}\) to cover the application filing fees.

2. Copy of application specification of 36 pages (including Abstract of the Disclosure).

3. Preliminary Amendment

4. Combined Declaration for a Patent Application and Power of Attorney (unsigned).

5. Transmittal of Sequence Listing, Computer Readable Form and Amendment pertaining thereto for Invention Containing Nucleotide and/or Amino Acid Sequence Under 37 CFR §§1.821-1.825

6. Paper copy of Sequence Listing (8 Pages)

7. Diskette containing a computer readable form (CRF) copy of the sequence Listing

8. Response to Written Opinion Under Rule 66 PCT

9. Return receipt post card.

Respectfully submitted,

John D. Conway

Registration No. 39,150 Attorney for Applicant

ABBOTT BIORESEARCH CENTER

100 Research Drive

Worcester, Massachusetts 01605-4314

telephone: (508) 688-8046 telefax: (508) 688-8110

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

The undersigned hereby certifies that this correspondence listed above is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR §1.10, postage prepaid, Express Mailing Label No. <u>ED072003559US</u>, in an envelope addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

February 16, 2005

Date

Lisa Rasmussen